

01/31/01  
jc772 U.S. PTO

PTO/SB/05 (4/98)

Approved for use through 09/30/2000 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →  +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. A01157

First Inventor or Application Identifier

Barret R. Bobsein et al.

Title

Waterborne Paper Or Paperboard Coating Composition

Express Mail Label No.

01/31/01  
jc991

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="31"/> ] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>– Descriptive title of the Invention</li> <li>– Cross References to Related Applications</li> <li>– Statement Regarding Fed sponsored R &amp; D</li> <li>– Reference to Microfiche Appendix</li> <li>– Background of the Invention</li> <li>– Brief Summary of the Invention</li> <li>– Brief Description of the Drawings (if filed)</li> <li>– Detailed Description</li> <li>– Claim(s)</li> <li>– Abstract of the Disclosure</li> </ul> <p>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="2"/> ]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total Pages <input type="text" value="1"/> ]</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)</li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventors(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li> </ul>		<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>	
<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>13. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other: _____</p>			
<p><b>*NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b></p>			

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. : 60/193,305

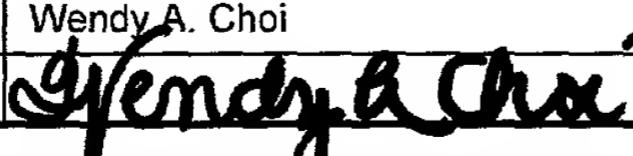
Prior application information Examiner \_\_\_\_\_

Group / Art Unit. \_\_\_\_\_

For **CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	Ronald D. Bakule Rohm and Haas Company			
Address	Patent Department 100 Independence Mall West			
City	Philadelphia	State	PA	Zip Code
Country	USA	Telephone	215-641-7822	Fax
				19106-2399 215-592-2682

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697
Signature			Date 01/29/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$ 710)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Barrett Richard Bobsein et al
Examiner Name	
Group / Art Unit	
Attorney Docket No	A01157

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account <span style="border: 1px solid black; padding: 2px;">18-1850</span></p> <p>Number</p> <p>Deposit Account <span style="border: 1px solid black; padding: 2px;">Rohm and Haas Company</span></p> <p>Name</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee (\$)</td> </tr> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>Petition to revive - unintentional</td> </tr> <tr> <td>142</td> <td>1,240</td> <td>242</td> <td>620</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>440</td> <td>243</td> <td>220</td> <td>Design issue fee</td> </tr> <tr> <td>144</td> <td>600</td> <td>244</td> <td>300</td> <td>Plant issue fee</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146</td> <td>710</td> <td>246</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td>149</td> <td>710</td> <td>249</td> <td>355</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 710)</td> <td colspan="4">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="8"> <p><b>SUBMITTED BY</b></p> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>Wendy A. Choi</td> <td>Registration No. (Attorney/Agent)</td> <td>36,697</td> <td>Telephone</td> <td>215-592-6758</td> <td colspan="2">Complete (if applicable)</td> </tr> <tr> <td>Signature</td> <td colspan="5"><i>Wendy A. Choi</i></td> <td>Date</td> <td>01/29/01</td> </tr> </table> </td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee Code	Fee (\$)	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1,390	218	695	Extension for reply within fourth month	128	1,890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive - unavoidable	141	1,240	241	620	Petition to revive - unintentional	142	1,240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	600	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Petitions related to provisional applications	126	240	126	240	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$ 710)				SUBTOTAL (3) (\$)				<p><b>SUBMITTED BY</b></p> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>Wendy A. Choi</td> <td>Registration No. (Attorney/Agent)</td> <td>36,697</td> <td>Telephone</td> <td>215-592-6758</td> <td colspan="2">Complete (if applicable)</td> </tr> <tr> <td>Signature</td> <td colspan="5"><i>Wendy A. Choi</i></td> <td>Date</td> <td>01/29/01</td> </tr> </table>								Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758	Complete (if applicable)		Signature	<i>Wendy A. Choi</i>					Date	01/29/01
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																																																																																						
Fee Code	Fee Code	Fee (\$)	Fee (\$)																																																																																																																																																																																						
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																					
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																					
139	130	139	130	Non-English specification																																																																																																																																																																																					
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																					
115	110	215	55	Extension for reply within first month																																																																																																																																																																																					
116	390	216	195	Extension for reply within second month																																																																																																																																																																																					
117	890	217	445	Extension for reply within third month																																																																																																																																																																																					
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																					
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																					
119	310	219	155	Notice of Appeal																																																																																																																																																																																					
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																					
121	270	221	135	Request for oral hearing																																																																																																																																																																																					
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																					
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																					
141	1,240	241	620	Petition to revive - unintentional																																																																																																																																																																																					
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																					
143	440	243	220	Design issue fee																																																																																																																																																																																					
144	600	244	300	Plant issue fee																																																																																																																																																																																					
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																					
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																					
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																					
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																					
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																					
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																					
Other fee (specify) _____																																																																																																																																																																																									
Other fee (specify) _____																																																																																																																																																																																									
SUBTOTAL (1) (\$ 710)				SUBTOTAL (3) (\$)																																																																																																																																																																																					
<p><b>SUBMITTED BY</b></p> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>Wendy A. Choi</td> <td>Registration No. (Attorney/Agent)</td> <td>36,697</td> <td>Telephone</td> <td>215-592-6758</td> <td colspan="2">Complete (if applicable)</td> </tr> <tr> <td>Signature</td> <td colspan="5"><i>Wendy A. Choi</i></td> <td>Date</td> <td>01/29/01</td> </tr> </table>								Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758	Complete (if applicable)		Signature	<i>Wendy A. Choi</i>					Date	01/29/01																																																																																																																																																																		
Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758	Complete (if applicable)																																																																																																																																																																																			
Signature	<i>Wendy A. Choi</i>					Date	01/29/01																																																																																																																																																																																		

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.